



Attorney Docket No.: PATENT  
SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 1763  
)  
Maximilian A. Biberger *et al.* ) Examiner: Ram N. Kackar  
)  
Serial No.: 09/704,641 ) **TRANSMITTAL LETTER**  
)  
Filed: November 1, 2000 ) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
For: **METHOD AND APPARATUS FOR** ) (408) 530-9700  
**SUPERCritical PROCESSING** )  
**OF A WORKPIECE** )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A \$180.00 check is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: October 30, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

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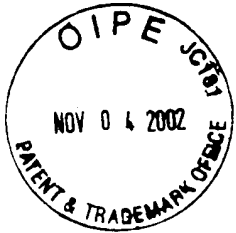
CERTIFICATE OF MAILING (37 CFR § 1.6(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

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HAVERSTOCK & OWENS LLP

Date: \_\_\_\_\_ By: \_\_\_\_\_



Attorney Docket No.: PATENT  
SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 1763  
Maximilian A. Biberger *et al.* )  
Serial No.: 09/704,641 ) SUPPLEMENTAL INFORMATION  
Filed: November 1, 2000 ) DISCLOSURE STATEMENT  
For: **METHOD AND APPARATUS FOR** ) 162 N. Wolfe Road  
**SUPERCRITICAL PROCESSING** ) Sunnyvale, CA 94086  
**OF A WORKPIECE** ) (408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,228,563 B1; and
- U.S. Patent No. 6,242,165 B1.

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Attorney Docket No.: PATENT  
SSI-00700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: October 30, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: \_\_\_\_\_ By: \_\_\_\_\_

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00700		Serial No.: 09 704,641	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary)				Applicant: Maximilian A. Biberger <i>et al.</i>			
				Filing Date: November 1, 2000		Group Art Unit: 1763	
(37 CFR § 1.98(b))							
U.S. PATENT DOCUMENTS							
Examiner Initials	Serial Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA	6,228,563 B1	05 08 01	Starov et al.	430	327	09 17 99
	AB	6,242,165 B1	06 05 01	Vaartstra	430	329	08 28 98
	AC						
	AD						
	AE						
	AF						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AS	<div style="display: flex; justify-content: space-between;"> <div style="text-align: left;"> <p><b>NOT CONSIDERED</b></p> <p><i>Ramirez</i></p> </div> <div style="text-align: right;"> <p><b>RECEIVED</b></p> <p>NOV 07 2002</p> <p><b>TC 1700</b></p> </div> </div>					
	AT						
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Examiner:		Date Considered:					
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							